January 2017

Dear Colleagues,



Ethical conduct in all we do at Boston University is the most important core value for our community. We are committed to:

- Dealing with others honestly and in good faith
- Avoiding conflicts of interest and commitment, both in fact and in appearance
- Preserving confidentiality
- Compliance with applicable laws, rules, and regulations
- Prohibiting retaliation against those who make good faith reports of violations of law or University policy
- Timely and accurate public disclosures

These principles are embodied in our <u>Code of Ethical Conduct</u> (<u>http://www.bu.edu/policies/ethics-governance/code-of-ethical-conduct/</u>).</u>

An important feature of the Code of Ethical Conduct is the availability of a confidential reporting "hotline" operated by EthicsPoint (<u>https://secure.ethicspoint.com/domain/media/en/gui/8779/index.html</u>). an independent reporting service. The hotline allows members of our community or others to confidentially submit allegations of breaches with this Code and provides links to related resources. Complaints may be submitted to EthicsPoint anonymously through the website, by letter, or by telephone.

Primary responsibility for the administration of the Code of Ethical Conduct rests with a Compliance Committee that reports to and is subject to the oversight of the Audit Committee of the Board of Trustees. The Compliance Committee consists of the University Provost; the Medical Campus Provost; the Vice President and General Counsel; the Senior Vice President, CFO, and Treasurer; the Senior Vice President for Operations; the Associate Vice President for Internal Audit; the Associate Vice President for Compliance; the Chief Human Resources Officer; and such other members as may be appointed by the President.

Our entire leadership team—vice presidents, deans, directors, department heads, and other supervisors— is responsible for fostering respect for the values embodied in the Code of Ethical Conduct and for promoting compliance with it. University employees who have questions or concerns about their obligations under the Code, possible illegal or unethical behavior, or noncompliance with University policy should speak with their supervisors or a member of the Compliance Committee. Please note that the Code is not intended to replace, and may be supplemented by, specific University policies that have been adopted in the past and that may be adopted in the future.

Every person, regardless of position, shares in the responsibility for promoting a positive and ethical work environment at Boston University. I urge you to review the Code of Ethical Conduct carefully and to do your part to ensure that its standards and guidelines are followed. Thank you for your attention and commitment to this important foundation of our academic community.

Sincerely,

Robert A. Br

Robert A. Brown President